

TAX INVOICE

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Please return this form to:

Administrator
Centre for Corporate Law and Securities Regulation
Faculty of Law
The University of Melbourne
Victoria 3010

Fax: 03 8344 5285

Email: j.peters@unimelb.edu.au

For any queries:

please call Josephine Peters on 03 8344 5281

Send registration form and payment by Friday 13 July 2007 for the Melbourne seminar and Tuesday 17 July 2007 for the Sydney seminar to:

Josephine Peters
Faculty of Law
The University of Melbourne
Vic 3010

No refunds unless cancellations notified by Friday 13 July 2007.

Victorian & NSW solicitors: If this particular educational activity is relevant to your immediate or long term needs in relation to your professional development and practice of law, then you should claim one unit for each hour of attendance, refreshment breaks not included.

Victorian Bar Members: This lecture is a Victorian Bar accredited Compulsory Continuing Legal Education (CLE) activity and carries one point

Information about the Centre for Corporate Law and Securities Regulation is available at:
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Speakers

Pamela Hanrahan

Associate Professor, Melbourne Law School

Michael Schoenberg

Partner, Allens Arthur Robinson

John Warde

Partner, Allens Arthur Robinson

Convenor

Professor Ian Ramsay
Director, Centre for Corporate Law & Securities Regulation
The University of Melbourne

Dates

17 July 2007 – Melbourne
18 July 2007 – Sydney

Times

5.30pm – 7.00pm
Refreshments will be served afterwards

Venues

Melbourne Seminar

Allens Arthur Robinson
Level 27
530 Collins Street
Melbourne 3000

Sydney Seminar

Allens Arthur Robinson
Level 28
Deutsche Bank Place
Cnr Hunter & Phillips Streets
Sydney 2000

Cost: \$90 + \$9 GST = \$99



THE UNIVERSITY OF
MELBOURNE

CCLSR
CENTRE for
CORPORATE
LAW and
SECURITIES
REGULATION

SEMINAR
MELBOURNE AND SYDNEY

ASIC v CITIGROUP:
THE DECISION AND ITS
IMPLICATIONS

17 July 2007 – Melbourne
18 July 2007 – Sydney

ASIC v Citigroup: The decision and its implications

On 28 June 2007, Jacobson J handed down his decision in ASIC v Citigroup Global Markets Australia Pty Limited. The case, which deals with conflicts of interest and insider trading in the investment banking context, has attracted considerable attention in Australia and overseas.

This seminar analyses the Federal Court's decision and discusses its implications for the financial sector. The topics covered include: the nature of fiduciary duties, the requirements for informed consent, the operation of Chinese walls, and the application of the insider trading laws to corporations.

Speaker Details

Pamela Hanrahan (both seminars): is Deputy Director of the University's Centre for Corporate Law & Securities Regulation. Prior to joining the University she practiced as a solicitor for many years, specialising in the regulation and governance of financial institutions. She has written extensively in the areas of corporate law and financial services law; her books include Managed Investments Law & Practice and Securities and Financial Services Law (co-authored with Bob Baxt and Ashley Black). Her new book Funds Management in Australia; Officers 'Duties and Liabilities will be published by Lexis Nexis in mid 2007. Dr Hanrahan spent much of 2006 as a Visiting Scholar at the University of Cambridge where, among other things, she spoke on the Citigroup litigation as part of the University's Transatlantic Financial Regulatory Dialogue Seminar Series.

Michael Schoenberg (Melbourne seminar): is a partner in the Melbourne office of Allens Arthur Robinson. He practices as a commercial litigator in the areas of corporations and takeovers, tax, trade practices, arbitrations and resources law. Michael has acted in some of Australia's largest commercial disputes, in major takeovers, large resource arbitrations, in substantial and complex tax disputes and investigations.

John Warde (Sydney seminar): is a partner in the Sydney office of Allens, Arthur Robinson. He has more than 17 years experience as a commercial litigator and represents investment banks, trading banks, listed and other large corporations as well as commercial services firms in commercial disputes and regulatory investigations and inquiries. He has acted in matters involving ASIC, ASX and ACCC investigations, equities and option markets disputes, complex contractual disputes, class actions, auditor and independent expert liability claims, directors duties, corporate governance and Takeover Panel matters, Royal Commissions and actions on behalf of administrators, liquidators and receivers.

ASIC v Citigroup: The Decision and its implications

Registration Form

I will attend the Melbourne Seminar

I will attend the Sydney Seminar

Melbourne - 17 July 2007

Sydney - 18 July 2007

Delegate 1

Name:.....

Email Address:.....

Postal Address:.....

.....

Company:.....

Telephone (BH):.....

Delegate 2

Name:.....

Email Address:.....

Postal Address:.....

.....

Company:.....

Telephone (BH):.....

Payment Details

(all registrations must be signed and dated below)

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The University of Melbourne \$ _____

and/or charge to:

MasterCard Bankcard Visa (please tick)

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Expiry date: ____ / ____

Name on card:.....

Amount \$

Signature:..... Date:.....



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