

# TAX INVOICE

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## Please return this form to:

Administrator  
Centre for Corporate Law and Securities Regulation  
Melbourne Law School  
The University of Melbourne  
Victoria 3010

**Fax:** 03 8344 5285

**Email:** [j.peters@unimelb.edu.au](mailto:j.peters@unimelb.edu.au)

## For any queries:

please call Josephine Peters on 03 8344 5281

Send registration form and payment by Friday 22 August 2008 for the Melbourne seminar and Thursday 4 September 2008 for the Sydney seminar.

No refunds unless cancellations notified by 22 August 2008 (Melbourne seminar) and 4 September 2008 (Sydney seminar).

**Victorian & NSW solicitors:** If this particular educational activity is relevant to your immediate or long term needs in relation to your professional development and practice of law, then you should claim one unit for each hour of attendance, refreshment breaks not included.

**Victorian Bar Members:** This lecture is a Victorian Bar accredited Compulsory Continuing Legal Education (CLE) activity and carries one point.

Information about the Centre for Corporate Law and Securities Regulation is available at:

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# SPEAKER DETAILS

**Cathie Armour** (Sydney seminar): is an Executive Director in Macquarie Capital Advisors. In this role, Cathie advises on legal risk matters relevant to particular transactions and the operations of the division. Cathie joined Macquarie in 2002 from JPMorgan. Cathie has worked in private practice at Mallesons Stephen Jacques and Allens Arthur Robinson. Cathie has also worked as a lawyer at New York firm Milbank Tweed Hadley & McCloy and was admitted to the New York bar.

**Richard Flynn** (Melbourne and Sydney seminars): is Manager, Surveillance of ASX Markets Supervision, a role he has held since 2005. Prior to joining ASX he spent 6 years at the Australian Securities and Investments Commission as an investigator in markets. Before that he was with Macquarie Bank in equities compliance.

**Michael Hoyle** (Melbourne seminar): has been a Division Director with Macquarie Capital Advisors for 9 years. Before joining Macquarie, Michael worked as a commercial lawyer for law firms in London, New York and Australia. At Macquarie, his principal role is to deal with legal, regulatory and structuring issues in mergers and acquisitions and other corporate transactions and he has worked on major projects for many leading companies as well as on a number of Macquarie's own initiatives as principal. Michael has also been seconded to the Takeovers Panel on a number of occasions as the Panel's acting Executive Director or Counsel.

**Robert Simkiss** (Melbourne seminar): is a partner in the Melbourne office of Allens Arthur Robinson. He practices in commercial and corporate law, principally in the areas of mergers and acquisitions and capital raisings. He has experience on a wide range of large and complex transactions including takeovers, schemes of arrangement, private equity, sales, acquisitions, capital reductions and capital raisings.

**John Warde** (Sydney seminar): is a litigation and insolvency partner at Allens Arthur Robinson. He leads the firm's Regulatory and Compliance Interest Group. John represents investment banks, trading banks and corporates in commercial litigation proceedings and mediations. He has extensive experience acting for clients involved in regulatory investigations and inquiries, including ASIC investigations, Takeovers Panels proceedings and ASX disciplinary matters. Before joining Allens, John spent two years as a consultant to ASX, acting as counsel in prosecutions of market matters before its Disciplinary Tribunal.



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**CENTRE for**  
**CORPORATE**  
**LAW and**  
**SECURITIES**  
**REGULATION**

## SEMINAR

**MELBOURNE AND SYDNEY**  
**INSIDER TRADING:**  
**RECENT DEVELOPMENTS**  
**AND IMPLICATIONS**  
**FOR MARKET PLAYERS**

**Melbourne**  
28 August 2008

**Sydney**  
9 September 2008

ASIC has recently announced that it is giving higher priority to detecting and prosecuting insider traders. Other international regulators have made similar announcements. The current market volatility has, according to some commentators, facilitated insider trading. At the same time, there have been criticisms of the insider trading laws, including criticisms by judges.

This seminar brings together leading speakers from the ASX and a major investment bank and law firm to discuss recent developments in insider trading and their implications for market players.

The topics discussed at the seminar will include:

- The role and activities of ASX in dealing with insider trading and market manipulation
- Current problems in relation to insider trading, including the extent of significant price movements ahead of M & A announcements, regulatory responses to these problems in Australia and elsewhere and some of the measures that firms can take to reduce the risk of market abuses.
- Topical issues in the current market including:
  - civil penalty proceedings: ASIC's scorecard to date
  - stock lending to hedge funds and margin call trigger points: is this inside information?
  - the equal information defence - can this be a fraud on the market?
  - narrowing the prohibition to exclude unlisted shares and OTCs

## SPEAKERS

**Cathie Armour** (Sydney seminar)  
Executive Director, Macquarie Capital Advisors

**Richard Flynn** (Melbourne and Sydney seminars)  
Manager, Surveillance, ASX Markets Supervision Pty Ltd

**Michael Hoyle** (Melbourne seminar)  
Division Director, Macquarie Capital Advisors

**Robert Simkiss** (Melbourne seminar)  
Partner, Allens Arthur Robinson

**John Warde** (Sydney seminar)  
Partner, Allens Arthur Robinson

## Convenor

**Professor Ian Ramsay**  
Director, Centre for Corporate Law & Securities Regulation, Melbourne Law School

## Dates

**28 August 2008 - Melbourne**  
**9 September 2008 – Sydney**

## Time

**5.30pm – 7.00pm**  
Refreshments will be served afterwards

## Venues

**Melbourne Seminar**  
Allens Arthur Robinson  
Level 34  
530 Collins Street  
Melbourne 3000

**Sydney Seminar**  
Allens Arthur Robinson  
Level 28  
Deutsche Bank Place  
Cnr Hunter & Philip Streets  
Sydney 2000

## Cost:

**\$90 + \$9 GST = \$99**

## INSIDER TRADING: RECENT DEVELOPMENTS AND IMPLICATIONS FOR MARKET PLAYERS

### Registration Form

I will attend the Melbourne Seminar

I will attend the Sydney Seminar

**28 August 2008 – Melbourne**

**9 September 2008 – Sydney**

### Delegate 1

Name:.....

Email Address:.....

Postal Address:.....

.....

Company:.....

Telephone (BH):.....

### Delegate 2

Name:.....

Email Address:.....

Postal Address:.....

.....

Company:.....

Telephone (BH):.....

### Payment Details

(all registrations must be signed and dated below)

**Please Accept:**  cheque payable to

The University of Melbourne \$ \_\_\_\_\_

and/or charge to:

MasterCard  Visa (please tick)

Card number:

Expiry date: \_\_\_\_ / \_\_\_\_

Name on card:.....

Amount \$ .....

Signature:.....Date:.....



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