

TAX INVOICE

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Please return this form to:

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Centre for Corporate Law and Securities Regulation
Faculty of Law
The University of Melbourne
Victoria 3010

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For any queries:

please call Josephine Peters on 03 8344 5281

Send registration form and payment by Friday 1 June 2007 for the Sydney seminar and Tuesday 5 June 2007 for the Melbourne seminar to: Josephine Peters, Faculty of Law, The University of Melbourne, Vic 3010

No refunds unless cancellations notified by Friday 1 June 2007.

Victorian & NSW solicitors: If this particular educational activity is relevant to your immediate or long term needs in relation to your professional development and practice of law, then you should claim one unit for each hour of attendance, refreshment breaks not included.

Victorian Bar Members: This lecture is a Victorian Bar accredited Compulsory Continuing Legal Education (CLE) activity and carries one point

Information about the Centre for Corporate Law and Securities Regulation is available at:

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Speakers

Pamela Hanrahan

Associate Professor, Melbourne Law School

Michael Schoenberg

Partner, Allens Arthur Robinson

John Warde

Partner, Allens Arthur Robinson

Dates

Seminar 1

5 June 2007 – Sydney
7 June 2007 – Melbourne

Times

5.30pm – 7.00pm
Refreshments will be served afterwards

The dates and panellists for Seminar 2 will be announced shortly after the decision is handed down.

Venues

Melbourne Seminar

Allens Arthur Robinson
Level 27
530 Collins Street
Melbourne 3000

Sydney Seminar

Allens Arthur Robinson
Level 28
Deutsche Bank Place
Cnr Hunter & Phillips Streets
Sydney 2000

Cost for each seminar: \$90 + \$9 GST = \$99

Cost for both seminars: \$150 + \$15 GST = \$165



THE UNIVERSITY OF
MELBOURNE

CCLSR
CENTRE for
CORPORATE
LAW and
SECURITIES
REGULATION

SEMINAR

MELBOURNE AND SYDNEY

ASIC v CITIGROUP – A Seminar in Two Parts

Seminar 1:

ASIC v CITIGROUP:
THE ISSUES AND THEIR SIGNIFICANCE

5 June 2007 – Sydney

7 June 2007 – Melbourne

Seminar 2:

ASIC v CITIGROUP:
THE DECISION AND ITS IMPLICATIONS

Dates and panellists to be advised

The recent litigation between the Australian Securities and Investments Commission and Citigroup Global Markets Australia Pty Ltd has attracted considerable local and international attention. The decision has the potential to alter our understanding of the nature of the relationship between financial institutions and their clients and of the manner in which conflicts of interest and confidential information must be handled.

Seminar 1 provides participants with a clear understanding of the issues in dispute between the parties and the position each has taken on the legal questions relating to the conflicts of interest and insider trading elements of the case.

Seminar 2, to be held shortly after the decision is handed down, will explain the decision reached by Jacobson J and its practical implications for financial institutions.

Speaker Details

Pamela Hanrahan (both seminars): is Deputy Director of the University's Centre for Corporate Law and Securities Regulation. Prior to joining the University she practised as a solicitor for many years, specialising in the regulation and governance of financial institutions. She has written extensively in the areas of corporate law and financial services law; her books include *Managed Investments Law & Practice* and *Securities and Financial Services Law* (co-authored with Bob Baxt and Ashley Black). Her new book *Funds Management in Australia: Officers' Duties and Liabilities* will be published by Lexis Nexis in mid 2007. Dr Hanrahan spent much of 2006 as a Visiting Scholar at the University of Cambridge where, among other things, she spoke on the Citigroup litigation as part of the University's Transatlantic Financial Regulatory Dialogue Seminar Series.

Michael Schoenberg (Melbourne seminar): is a partner in the Melbourne office of Allens Arthur Robinson. He practices as a commercial litigator in the areas of corporations and takeovers, tax, trade practices, arbitrations and resources law. Michael has acted in some of Australia's largest commercial disputes, in major takeovers, large resource arbitrations, in substantial and complex tax disputes and investigations.

John Warde (Sydney seminar): is a partner in the Sydney office of Allens Arthur Robinson. He has more than 17 years experience as a commercial litigator and represents investment banks, trading banks, listed and other large corporations as well as commercial services firms in commercial disputes and regulatory investigations and inquiries. He has acted in matters involving ASIC, ASX and ACCC investigations, equities and option markets disputes, complex contractual disputes, class actions, auditor and independent expert liability claims, directors duties, corporate governance and Takeovers Panel matters, Royal Commissions and actions on behalf of administrators, liquidators and receivers.

ASIC v Citigroup – A Seminar in Two Parts

Registration Form

I will attend the Sydney Seminar

I will attend the Melbourne Seminar

Sydney - 5 June 2007 / TBA

Melbourne - 7 June 2007 / TBA

Delegate 1

Name:.....

Email Address:.....

Postal Address:.....

.....

Company:.....

Telephone (BH):.....

Delegate 2

Name:.....

Email Address:.....

Postal Address:.....

.....

Company:.....

Telephone (BH):.....

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(all registrations must be signed and dated below)

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